

Recurrent paralogy in the evolution of archaeal chaperonins

John M. Archibald, John M. Logsdon Jr and W. Ford Doolittle

Chaperonins are multisubunit double-ring complexes that mediate the folding of nascent proteins [1,2]. In bacteria, chaperonins are homo-oligomeric and are composed of seven-membered rings. Eukaryotic and most archaeal chaperonin rings are eight-membered and exhibit varying degrees of hetero-oligomerism [3,4]. We have cloned and sequenced seven new genes encoding chaperonin subunits from the crenarchaeotes *Sulfolobus solfataricus*, *S. acidocaldarius*, *S. shibatae* and *Desulfurococcus mobilis*. Although some archaeal genomes possess a single chaperonin gene, most have two. We describe a third chaperonin-encoding gene (TF55- γ) from two *Sulfolobus* species; phylogenetic analyses indicate that the gene duplication producing TF55- γ occurred within crenarchaeal evolution. The presence of TF55- γ in *Sulfolobus* correlates with their unique nine-membered chaperonin rings. Duplicate genes (paralogs) for chaperonins within archaeal genomes very often resemble each other more than they resemble chaperonin genes from other archaea. Our phylogenetic analyses suggest multiple independent gene duplications – at least seven among the archaea examined. The persistence of paralogous genes for chaperonin subunits in multiple archaeal lineages may involve a process of co-evolution, where chaperonin subunit heterogeneity changes independently of selection on function.

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Results and discussion

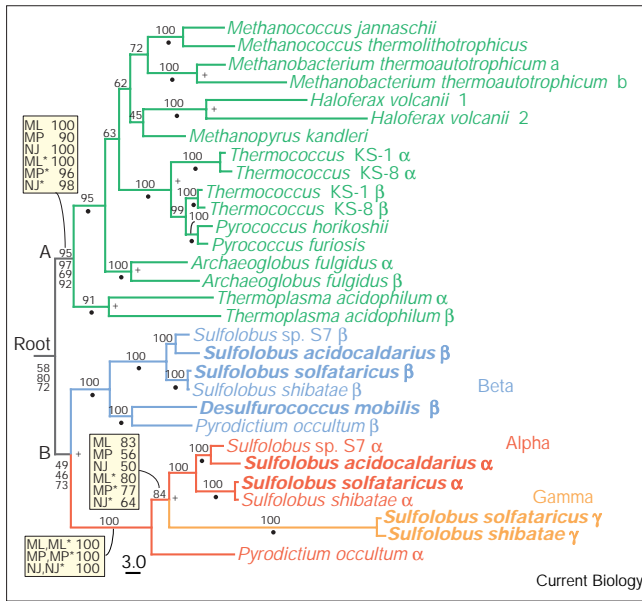
Archaeal chaperonins were first described in the crenarchaea *Pyrodictium occultum* and *S. shibatae* [5–7] and show remarkable sequence similarity to the eight homologous subunits present in the eukaryotic cytoplasmic chaperonin complex CCT (chaperonin-containing TCP-1, also known as TriC (TCP-1 ring complex)) [8–11]. *Sulfolobus* chaperonins were first described as homo-oligomeric [7] but were later found to have two different subunit species, named

TF55- α and TF55- β [12–14]. In a search for possible additional chaperonin genes, we applied degenerate PCR to genomic DNA of *Sulfolobus* and *Desulfurococcus*. Orthologs of TF55- α and - β [12–14] were cloned and sequenced from *S. solfataricus* (TF55- β), *S. acidocaldarius* (TF55- α and - β) and *D. mobilis* (TF55- β). TF55- α as well as a third, previously undescribed, chaperonin gene which we call TF55- γ were sequenced during sequencing of the *S. solfataricus* p2 genome [15]. We confirmed the presence and sequence of TF55- α and γ by PCR cloning and sequencing. A TF55- γ ortholog was also obtained from *S. shibatae* by PCR, and successfully hybridized to *S. shibatae* genomic DNA (data not shown).

The complete *S. solfataricus* TF55- γ gene encodes a protein of 539 amino acids (predicted molecular weight (MW) = 59.258 kDa, pI = 5.10) that has 55.2% and 43.2% identity with *S. solfataricus* TF55- α (MW = 59.659 kDa, pI = 5.12) and *S. shibatae* TF55- β (MW = 59.681, pI = 5.3; [13]), respectively. A comparison of the *S. solfataricus* and *S. shibatae* TF55- γ nucleotide sequences revealed a significant bias towards synonymous (silent) substitutions ($K_A/K_S \cong 0.1$; data not shown), as would be expected if these genes are expressed and are evolving under selection.

Archaeal genome sequences completed to date contain only one (*Methanococcus jannaschii*, *Pyrococcus horikoshii*) or two (*Methanobacterium thermoautotrophicum*, *Archaeoglobus fulgidus*) chaperonin subunit genes. The finding of a third gene in *Sulfolobus*, quite divergent from the other two, is therefore surprising. To investigate a possible relationship between this third gene and the unique nine-membered structure of the *Sulfolobus* chaperonin complexes, we performed phylogenetic analyses on available archaeal chaperonin sequences; in some analyses, the eukaryotic CCT sequences were used as an outgroup. A remarkable recurring pattern of gene duplication and loss in archaeal chaperonins was observed (Figure 1). The deepest branching separates the two recognized kingdoms (euryarchaeotes and crenarchaeotes) within the Archaea, consistent with the notion that a single chaperonin subunit gene in the last common ancestor of the two kingdoms gave rise to all modern archaeal chaperonin genes. Nevertheless, within both euryarchaeotes and crenarchaeotes, paralogy is rampant: a minimum of seven events of chaperonin gene duplication can be inferred. Within the euryarchaeotes, 'lineage-specific' gene duplications have occurred in *Methanobacterium thermoautotrophicum*, *Haloferax volcanii*, *A. fulgidus*, *Thermoplasma acidophilum* and the *Pyrococcus/Thermococcus* clade. Amino acid identities between euryarchaeal paralogs range from 58.3% (*H. volcanii* 1 and 2) to 80.6% (*Thermococcus* KS-1 α

Figure 1

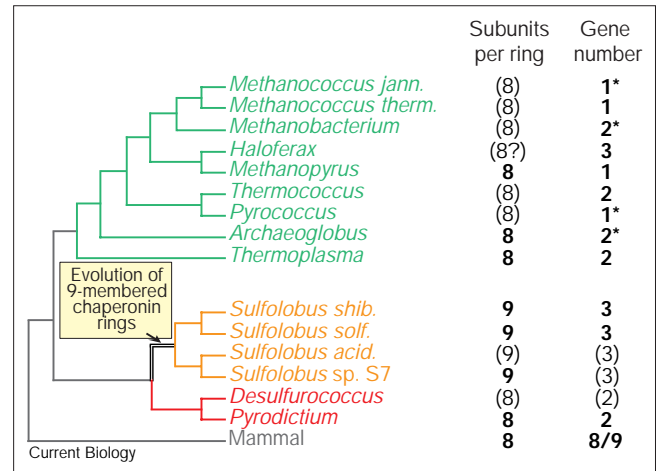


Phylogeny of archaeal chaperonins. The maximum likelihood tree (-lnL 11053.5) of archaeal chaperonin amino acid sequences from an exhaustive protML analysis [26] is shown. Sequences from this study are in bold; sequences are deposited under the accession numbers AF149920–AF149925 and AF181261. Euryarchaeotes are shown in green and the three different crenarchaeal subunits are shown in red, blue and orange. Percentage support values (RELL values from a heuristic (quick-add) protML search [26]) are given above each node; bullets indicate nodes that were constrained in the exhaustive protML analysis. Inset boxes indicate support for nodes of particular interest; values were derived from various tree reconstruction methods (ML, maximum likelihood; MP, maximum parsimony; NJ, neighbor-joining distance). The influence of site-by-site rate variation on the support for these nodes was also tested (see Supplementary material); support values from analyses in which fastest-evolving sites were removed are labeled with an asterisk. Grey branches indicate the region and position of the eukaryote outgroup root, determined from additional phylogenetic analyses (see Supplementary material). Support values for nodes A, B and Root are given in the order ML, MP, NJ from top to bottom. The scale bar indicates 3.0 substitutions per 100 amino acid sites. Plus signs indicate inferred gene duplications (see text).

and KS-1 β) suggesting that some duplications occurred more recently than others. Interestingly, the complete genome of *Pyrococcus horikoshii* has a single chaperonin gene. As this gene (together with a single gene from *Pyrococcus furiosus*) forms a clade with only one of the two paralogous genes in *Thermococcus* strains K1 and K8, the simplest interpretation is that *Pyrococcus* lost one of the paralogs (Figures 1,2).

Among crenarchaeotes, an early duplication producing α and β genes predated the separation of *Sulfolobus* and *Pyrodicticum* (Figure 1). In contrast, the duplication giving rise to the *Sulfolobus* α and γ paralogs took place after this point. This observation is most interesting in the light of observed differences in crenarchaeal chaperonin-complex

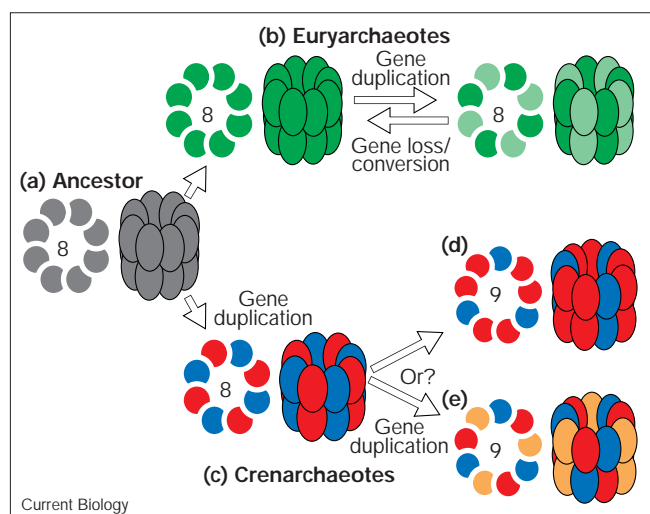
Figure 2



Evolution of chaperonin symmetry and gene number. A cladogram of archaeal relationships based on Figure 1 is shown on the left. Euryarchaeotes are shown in green; crenarchaeotes are shown in orange (*Sulfolobus*) or red (*Desulfurococcus* and *Pyrodicticum*) based on the presence of both α and γ subunits or α only, respectively. The subunit number per chaperonin ring and the number of known or inferred chaperonin genes are shown on the right. Subunits per ring: bold values indicate known subunit stoichiometry from electron microscopic studies; values in parentheses are predicted. Gene number: bold values indicate known gene number from sequence data; asterisks indicate that the total gene number is confirmed by complete genome sequence; values in parentheses are predicted. A recent study [27] found a third chaperonin gene in *Haloferax*, the sequence of which has not yet been determined; the subunit number of *Haloferax* chaperonin complexes is also not known. In mouse, nine chaperonin genes are known, but only eight are constitutively expressed; the ninth subunit shows testis-specific expression [28].

architectures (Figures 2,3). The α and β subunits in *P. occultum* chaperonins are thought to alternate in each eight-membered ring [5,6], similar to the known arrangement in the euryarchaeote *Thermoplasma acidophilum* [16]. The organization of subunits in the nine-membered chaperonin rings of *Sulfolobus* species remains enigmatic, however. The widespread distribution of eight-membered chaperonin rings outside crenarchaeotes (in all eukaryotes and euryarchaeotes examined thus far; Figure 2) suggests this is ancestral, and that a transition from eight- to nine-membered chaperonin rings occurred during crenarchaeal evolution in an ancestor of *Sulfolobus* (Figures 2,3). Because α and β subunits could not alternate equally in a nine-membered ring, Kagawa *et al.* [13] proposed that *Sulfolobus* chaperonins consist of two homo-oligomeric rings, one of α subunits and the other of β subunits. More recently, Ellis *et al.* [17] examined two-dimensional crystals prepared from *S. solfataricus* and proposed that each ring has threefold symmetry with an $(\alpha_2\beta)_3$ arrangement. Our discovery of a third chaperonin-subunit-encoding gene raises the interesting possibility that *Sulfolobus* chaperonins are in fact nine-membered rings with an $(\alpha\beta\gamma)_3$

Figure 3



Archaeal chaperonin evolution by recurrent paralogy. Schematic representation of chaperonin structures: multimeric chaperonin rings are composed of individual subunits that interact asymmetrically (side-to-side and top-to-bottom). Subunit colors are the same as in Figure 1; hypothesized interactions between rings are based on *T. acidophilum* [16]. (a) Hypothetical ancestral state of the chaperonin complex common to euryarchaeotes, crenarchaeotes and, probably, eukaryotes: eight-membered homo-oligomeric rings (see text). (b) Chaperonin subunit gene duplications have occurred independently in at least five euryarchaeal lineages (different subunits are indicated by light and dark green). At least one gene loss has also occurred. (c) A gene duplication took place early in crenarchaeal evolution. A more recent gene duplication took place in a *Sulfolobus* ancestor; a change from eight- to nine-membered chaperonin rings also occurred. (d,e) Two possible nine-membered structures. (d) The $(\alpha_2\beta)_3$ arrangement of Ellis *et al.* [17] inferred from the two-dimensional crystallization of *Sulfolobus* chaperonins. (e) Our prediction of alternating α , β and γ subunits in each *Sulfolobus* chaperonin ring.

arrangement (Figure 3). Indeed, TF55- α and the TF55- γ described here are predicted to have nearly identical biophysical properties, consistent with previous descriptions of a 2:1 TF55- α to β ratio [12].

Interestingly, a 42 nucleotide (14 amino acid) insertion present in the α and β genes of *Pyrodicticum occultum* but absent in all other archaeal sequences provides possible evidence for partial gene conversion (see Supplementary material). Frequent partial gene conversions causing the concerted evolution of paralogous proteins within a genome could conceivably produce a phylogenetic pattern similar to that observed for euryarchaeal chaperonins (multiple lineage-specific paralogs). The highest amino acid identity between any two paralogs in our dataset is only 80.6%, however, suggesting that gene conversion is infrequent. Analyses of silent sites (synonymous codon positions) using GENECONV failed to detect any statistically significant stretches of nucleotide identity (a potential indicator of regions of partial gene conversion) among paralogs within a genome (see Supplementary material).

Even with gene conversion, the persistence of paralogy in so many separate lineages begs for an explanation. If an early archaeal gene duplication produced paralogs with functions that began to diverge soon thereafter, we would expect modern archaea to retain and exhibit such ‘deep paralogy’: in general, for two paralogs ‘a’ and ‘b’, a genes from different species would be more similar to each other than each is to the b gene in the same species. Instead, we have evidence that duplicate genes have arisen—and been retained—independently in five different euryarchaeal lineages. It is possible that, in each of these instances, paralogy is maintained because the hetero-oligomeric chaperonin thus produced has acquired functions that its homo-oligomeric ancestor lacked. The species examined comprise non-thermophilic halophiles (such as *Haloferax*) and both autotrophic (such as *Methanococcus*) and heterotrophic (such as *Archaeoglobus*) thermophiles, however: homo- and hetero-oligomeric chaperonins appear randomly distributed among them with respect to environment and/or lifestyle (Figure 2). There is no reason to suspect that, in archaea, homo- and hetero-oligomeric chaperonins function differently.

Co-evolved interdependence between subunits of a hetero-oligomeric complex seems a more appealing possibility. Ancestrally, chaperonins would have homo-oligomeric rings, the subunits of which are products of a single gene; in archaea, this inference is favored by our phylogenetic analyses. Gene duplication into a and b paralogs would be followed by sequence divergence, through the fixation, in one or the other paralog, of mutations that are neutral or only slightly deleterious. Duplicate chaperonin genes would thus encode functionally identical subunits that assemble into rings in random proportions determined by their cellular abundance. At this stage, one or the other duplicate could be lost as inconsequentially as it was gained, and partial or complete gene conversion events between recent duplicates might periodically reset the ‘divergence clock’. With time, some mutations in the gene for one paralog (say a) might increase its ability to bind to the other and/or decrease its ability to bind to itself. At this point, co-evolved changes in the b paralog that establish a similar preferential formation of heterodimers with a subunits would then make loss of either gene disadvantageous. This last step acts as a ‘ratchet’, locking hetero-oligomerism into place. Such a process of co-evolved changes leading to preferential subunit–subunit interactions would not only occur within chaperonin rings, but also between chaperonin rings. Such co-evolution could occur without change in overall function of the chaperonin complex and would be selectively neutral. The completely hetero-oligomeric CCT complex found in eukaryotes may in fact be an example of such a process taken to completion. Individual CCT subunits share approximately 30% identity and seem to occupy specific positions relative to the others in each eight-membered ring [4,18–20]. Although six (and probably all)

CCT-subunit-encoding genes are known to be essential in yeast [19–22], separate functions for each subunit have not been clearly demonstrated.

Our neutral explanation for the persistence of hetero-oligomerism is consistent with the observation that eight-membered rings of euryarchaeal chaperonins are made in some species from single protein subunits and in other species from two (Figures 2,3). Also, heterologous expression studies suggest that proper formation and function of homo-oligomeric chaperonins *in vivo* probably depends on the extent of functional (sequence) divergence between paralogous subunits. The α -only and β -only (homo-oligomeric) chaperonins from *Thermococcus* strain KS-1, in which the α and β paralogs are 80.6% identical, show ATPase activity and protein-folding ability when expressed in *Escherichia coli* [23]. In contrast, homo-oligomeric chaperonin complexes from *Sulfolobus* sp. S7 (α and β have only 55.5% identity) are unstable, prone to dissociation into monomers and show no ATPase activity [24]. In *P. occultum* (α and β are 61.8% identical), α -only and β -only thermosomes were microscopically indistinguishable from their native hetero-oligomeric counterparts, yet exhibit reduced thermal stability and are deemed only partly functional [25]. The remarkable evolutionary pattern of archaeal chaperonin subunits could provide a general framework for understanding the origin and evolution of hetero-oligomerism in multisubunit protein complexes.

Supplementary material

Supplementary material including a protein sequence alignment of archaeal chaperonins and additional methodological details is available at <http://current-biology.com/supmat/supmatin.htm>.

Acknowledgements

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Supplementary material

Recurrent paralogy in the evolution of archaeal chaperonins

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Supplementary materials and methods

Cloning and sequencing of Sulfolobus and Desulfurococcus chaperonin genes

A battery of degenerate primers were designed using an alignment of published archaeal and eukaryotic chaperonin homologs: forward primers CCT-5-for (5'-GAAATCGGNGAYGGNAC-3'), TF-1-for (5'-ACAACCTCCNGARGGNAT-3'), TF-2-for (5'-GAAGAGACNGCN-GAYGG-3'), TF-7-for (5'-CTACTACTTAGRGARGGNAC-3'), TF-8-for (5'-GTCATACTABYNGARATG-3'), Ssol-TF-a-for (5'-TGCATATGGCA-GCTCCAGTCTTATTG-3') and Ssol-TF-g-for (5'-CGCATATGGCCTAT-TTATTAAGAGAAGGAAC-3') and reverse primers CCT-3-rev (5'-TGGAGCTCCNSCNCNG-3'), TF-5-rev (5'-TGCAGCCTTAAT-NGCRTTCAT-3'), TF-9-rev (5'-GCAGCTATCARRTCRTCDAT-3'), Ssol-TF-a-rev (5'-CTCGAGGTCTCCTAAAGATGGAGTAG ATC-3'), and Ssol-TF-g-rev (5'-CTCGAGACCTAAGTATGGGTTTGGCTGTTG-3'), where N indicates any nucleotide, Y indicates a pyrimidine, R indicates a purine, B indicates C, T or G, S indicates C or G and D indicates A, T or G. PCR reactions were carried out under standard conditions (using Gibco BRL Taq polymerase, buffer and deoxynucleotides and an MJ Research Inc. PTC-100 thermal cycler), with 35–40 cycles of 92°C for 30 sec, 50°C for 30 sec and 72°C for 30–40 sec each. PCR products were isolated (BIORAD, Prep-a-gene), cloned (TA cloning kit, Invitrogen) and sequenced manually (T7 sequencing kit, Pharmacia). For each gene, multiple clones were sequenced using LiCor and ABI automated sequencers. The crenarchaeal chaperonin genes used in these analyses were obtained by PCR using the following primer combinations: *Sulfolobus acidocaldarius* α , TF-8-for and TF-9-rev; *S. solfataricus* α , Ssol-TF-a-for and Ssol-TF-a-rev; *S. acidocaldarius* β , TF-1-for and TF-5-rev; *S. solfataricus* β , TF-2-for and TF-9-rev; *D. mobilis* β , TF-7-for and TF-9-rev; *S. shibatae* γ , CCT-5-for and CCT-3-rev; *S. solfataricus* γ , CCT-5-for and CCT-3-rev and also Ssol-TF-g-for and Ssol-TF-g-rev. A portion of *S. shibatae* β was amplified (forward primer, CCT-5-for; reverse primer, TF-5-rev) and partially sequenced and proved identical over 280 nucleotides to the *S. shibatae* TF55- β described by others [S1,S2]. The sequences determined in this study have been submitted to GenBank with the following accession numbers: *Sulfolobus solfataricus* α , AF181261; *S. solfataricus* β , AF149920; *S. solfataricus* γ , AF149921; *S. shibatae* γ , AF149922; *S. acidocaldarius* α , AF149923; *S. acidocaldarius* β , AF149924; *D. mobilis* β , AF149925.

Phylogenetic analyses

Amino acid sequences inferred from the *Sulfolobus* and *Desulfurococcus* chaperonin genes were aligned with published archaeal and eukaryotic chaperonins. For all phylogenetic methods, 30 aligned archaeal sequences and 391 unambiguously aligned amino acid sites were used; no more than one sequence is missing data from any included site and the only partial sequence (*S. shibatae* γ) comprises 298 sites (76%). The protein maximum likelihood (ML) tree (Figure 1) was constructed with an exhaustive search method by protML (in MOLPHY 2.2 [S3]) using the JTT-F substitution model and a partially constrained input tree determined by consideration of other methods and analyses (bullets in Figure 1 indicate constrained nodes); this allowed nodes of particular interest, as well as those weakly supported by other methods, to be tested. RELI support values (local estimates of bootstrap percentages) were calculated from a quick-add ML search of 1000 trees using JTT-F. An alignment that contained eukaryotic chaperonin sequences was used to root the archaeal tree, resulting in nodes labeled A, B and Root (Figure 1); this alignment included 51 sequences and 352 unambiguously aligned sites, with no more than 4 sequences missing data at any included site (the shortest partial sequence comprises 329 sites (93%)). The eukaryotic sequences included were eight chaperonin subunit paralogs from each of *Homo*,

Caenorhabditis and *Saccharomyces*; the archaeal sequences *S. solfataricus* β and γ and *S. shibatae* γ were excluded. ML support (RELI) is derived from a quick-add search of 1,000 trees, whereas MP and NJ support was determined by bootstrapping (using 1,000 and 100 re-sampled datasets, respectively) with PAUP* 4.0 [S4] and PHYLIP 3.57 (programs PROTDIST with PAM distances and NEIGHBOR) [S5]. Site-by-site evolutionary rates were estimated using PUZZLE 4.0 [S6] from the archaeal dataset of 30 taxa and 391 sites; an estimated discrete γ distribution with one invariant and eight variable site rates was calculated over the NJ tree with a JTT-F model. Following from Hirt *et al.* [S7], the fastest-evolving sites (category 8; 52 sites) were removed from the dataset, resulting in a dataset of 339 sites.

Statistical tests for gene conversion

Sawyer's GENECONV program [S8,S9] was used to test for instances of gene conversion in the archaeal chaperonin dataset. Eight pairs of paralogs (*Methanobacterium thermoautotrophicum* a and b, *Haloferax volcanii* 1 and 2, *Thermococcus* KS-1 α and β , *A. fulgidus* α and β , *Thermoplasma acidophilum* α and β , *Pyrodictium occultum* α and β , *S. shibatae* α and β , *S. solfataricus* α and γ) were selected to construct an 1812 nucleotide DNA alignment that was gapped with respect to the amino acid sequence alignment by aa-dna-align.pl (O. Feeley, unpublished data), which was used as input to GENECONV. All polymorphic sites (default), as well as silent-site-only (synonymous) polymorphisms (*-seqtype = silent*), were tested for evidence of gene conversion using mismatch penalties of 0 (default), 1, 2, and 3 (*gscale = 0–3*). N = 10,000 (default) permutations were performed in each analysis.

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Figure 1

Alignment of archaeal chaperonin amino acid sequences. The deduced amino acid sequences of the seven chaperonin genes obtained in this study (indicated by asterisks) were aligned with published archaeal chaperonin sequences (30 sequences in total, 604 amino acid sites). Abbreviation: S.sp., *Sulfolobus sp. S7*; S.acid., *S. acidocaldarius*; S.shib., *S. shibatae*; S.solf., *S. solfataricus*; P.occ., *Pyrodictium occultum*; D.mob., *D. mobilis*; M.therm., *Methanobacterium thermoautotrophicum*; M.kandleri, *Methanopyrus kandleri*;

M.jannaschii, *Methanococcus jannaschii*; M.thermolit., *M. thermolithotrophicus*; H.vol., *H. volcanii*; Archaeo., *A. fulgidus*; T.acid., *Thermoplasma acidophilum*; Therm.K1, *Thermococcus sp.* strain KS-1; Therm.K8, *Thermococcus sp.* strain KS-8; P.horikoshii, *Pyrococcus horikoshii*; P.furiosus, *Pyrococcus furiosus*. Dots indicate an identical amino acid residue to the first sequence (*Sulfolobus sp. S7* alpha), dashes indicate alignment gaps. Amino acid sites used in phylogenetic analyses are indicated below the alignment (I, included, X, excluded).